

**NSF INDUSTRY COLLABORATOR COMMITMENT FORM**

Collaborator Legal Name: \_\_\_\_\_  
 Collaborator Investigator Name: \_\_\_\_\_ RFSUNY/SBU' PI Name: \_\_\_\_\_  
 Address: \_\_\_\_\_ City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_  
 Email: \_\_\_\_\_ Phone: \_\_\_\_\_ Fax: \_\_\_\_\_

Proposal Title: \_\_\_\_\_  
 Start Date: \_\_\_\_\_ End Date: \_\_\_\_\_

Does Collaborator intend to provide supplemental funding under this collaboration if awarded by NSF? Yes \_\_\_ No \_\_\_

**Certifications**

**Yes No** Collaborator certifies that it has an Institutional Plan to meet NSF's Educational Requirements for the Responsible Conduct of Research, as required under the "America COMPETES Act" PUBLIC LAW 110-69-August 9, 2007.

**Yes No** Collaborator certifies that it has a training program in place and will train all undergraduate and graduate students and postdocs in accordance with NSF's RCR requirements.

If proposal is awarded, will Collaborator personnel have any responsibility for the design, conduct, or reporting of research

**Yes No** If **Yes**, please complete sections below

**Yes No** Collaborator certifies that it has an active and enforced conflict of interest policy that is consistent with the provision of 42 CFR Part 50, Subpart F "Responsibility of Applicants for Promoting Objectivity in Research" and 45 CFR Part 94 "Responsible Prospective Contractors." Collaborator also certifies that, to the best of their knowledge, (1) all financial disclosures will be made related to the activities that may be funded by or through a resulting agreement, and required by its conflict of interest policy, and (2) all identified conflicts of interest have or will have been satisfactorily managed, reduced or eliminated in accordance with Collaborator's conflict of interest policy prior to the expenditures of any funds under any resultant agreement and within a timely manner sufficient to enable timely FCOI reporting.

**Yes No** Collaborator does not have an active and/or enforced conflict of interest policy and agrees to adopt SBU's policy. All Collaborator "Investigators," or, any person, *regardless of title or position*, who is responsible for the design, conduct, or reporting of research, as defined by SBU policy, **prior to submission of the research proposal application**, will complete SBU's electronic Financial Conflict of Interest forms found at: <http://www.stonybrook.edu/research/forms/coi/cid.shtml>

**Yes No** Collaborator certifies that no payments have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this proposed project. (If "No," attach explanation.)

Collaborator certifies they: (answer all questions below)

**are are not** presently debarred, suspended, proposed for debarment, or declared ineligible for award of federal contracts

**are are not** presently indicted for, or otherwise criminally or civilly charged by a government entity

**have have not** within three (3) years preceding this offer, been convicted of or had a civil judgment rendered against them for commission of fraud or criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state or local) contract of subcontract; violation of Federal or State antitrust statutes relating to the submission of offers; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements or receiving stolen property

**have have not** within three (3) years preceding this offer, had one or more contracts terminated for default by any federal agency

Is the PI or any other employee or student participating in this project debarred, suspended or otherwise excluded from or ineligible for participation in federal assistance programs or activities? **Yes No** (if "Yes", attach explanation)

By signing this Commitment Form, Collaborator agrees to comply with the Patents and Inventions Policy of State University of New York [http://www.suny.edu/sunyp/ documents.cfm?doc\\_id=88](http://www.suny.edu/sunyp/ documents.cfm?doc_id=88), and certifies and represents that is in compliance with all applicable federal and sponsor policies and that to the best of its knowledge the information contained within this application true, complete and accurate. In the event RFSUNY/SBU's PI pursues to submit the NSF proposal referenced above, Collaborator will enter into an appropriate Memorandum of Understanding agreement with The Research Foundation for the SUNY prior to such submission.

\_\_\_\_\_  
Signature of Collaborator's Authorized Official

\_\_\_\_\_  
Phone

\_\_\_\_\_  
Date

\_\_\_\_\_  
Name and Title of Authorized Official

\*This document is valid for all NSF proposals that requires a formal agreement with an industrial partner including NSF GOALI and NSF PBI's.